

# Operating system concepts

Process Synchronization (deadlocks detection, prevention,  
avoidance)

Slides Set #13

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# Deadlock Prevention: No Preemption, Circular Wait

**3. No Preemption.** The third necessary condition for deadlocks to occur is: there be no preemption of resources that have already been allocated. To ensure that ...

- ▶ Alternatively, if a process requests some resources, we first check whether they are available. If they are, we allocate them. If they are not,...
- ▶ This protocol is often applied to resources whose state can be easily saved and restored later,
- ▶ Questions: 1. In what conditions, the preemption of resources cannot be applied? 2. Explain the preemption protocol of deadlock removal, in detail.

**4. Circular Wait.** The fourth and final condition for deadlocks is the circular-wait condition. To ensure that it does not hold is impose **total order** of resources.

We define a one-to-one function  $f : R \rightarrow N$

# Deadlock Avoidance

- ▶ \* Deadlock-prevention algorithms ensures that **at least one of the necessary conditions for deadlock cannot occur.**  
Side effects?
- ▶ 1. A method for avoiding deadlocks is to require **additional information** for example, in a system with "one tape drive and one printer," the system...
- ▶ 2. A deadlock-avoidance algorithm dynamically examines the resource-allocation state to ensure that a circular-wait condition can never exist.
- ▶ A state is safe if the system can allocate resources to each process (up to its maximum) in some order and still avoid a deadlock.
- ▶ Questions: 1. What is disadvantages of method \* above? 2. What are the different possible mechanisms for deadlock avoidance?

# Deadlock detection

- ▶ If a system does not employ either a deadlock-prevention or a deadlock-avoidance algorithm, then a deadlock situation may occur. In this environment, the system may provide:
  1. An algorithm that examines the state of the system to determine whether a deadlock has occurred, and
  2. An algorithm to recover from the deadlock.

## Recovery from deadlock

When a detection algorithm determines that a deadlock exists, several alternatives are available.

1. Simplest solution is to inform the operator that a deadlock has occurred and to let the operator deal with the deadlock manually.
2. Another possibility is to let the system recover from the deadlock automatically.
  - ▶ Two options for breaking a deadlock: 1. simply to abort one or more processes to break the circular wait. 2. preempt some resources from one or more of the deadlocked processes.
  - ▶ **Abort all deadlocked processes.** This method clearly will break the deadlock cycle, but at great expense.
  - ▶ Abort one process at a time until the deadlock cycle is eliminated.
  - ▶ Questions: 1. “Abort all deadlocked processes” method has what disadvantages?

## Recovery from deadlock: Resource preemption

If preemption is required to deal with deadlocks, then three issues need to be addressed:

- ▶ **Selecting a victim.** Which resources and which processes are to be preempted?
- ▶ **Rollback.** If we preempt a resource from a process, what should be done with that process?
- ▶ **Starvation.** How do we ensure that starvation will not occur? That is, how can we guarantee that resources will not always be preempted from the same process?